

## 5S Ribosomal RNA Sequences Inappropriate for Phylogenetic Reconstruction<sup>1</sup>

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Phylogenies of several different metazoan clades were generated from 5S ribosomal sequence data obtained from Erdmann et al. (1985) and Hendriks et al. (1986). The present study utilizes maximum-parsimony methods rather than the phenetic methods which are employed by Hendriks et al. I examined clades at the subkingdom, phyla, and class levels by using bootstrap analysis with a variety of weighting schemes (e.g., equal weighting, transversions weighted heavier than transitions, and stem-region nucleotide bases weighted heavier than loop-region nucleotide bases). In all the clades studied, there was not sufficient phylogenetic signal to reconstruct meaningful phylogenies.

The first clade that I examined consisted of 65 sequences representing a wide range of metazoan taxa (see table 1). With the general heuristic search algorithm of PAUP (phylogenetic analysis using parsimony), version 3.0 (Swofford 1990), I analyzed possible phylogenetic relationships by using the two *Euglena gracilis* sequences as outgroups. Of the 123 nucleotide positions in the metazoan 5S ribosomal gene, only 89 are informative. The search yielded 93 trees of 536 steps, and there were >500 trees of 537 steps. The 50% majority-rule consensus tree is shown in figure 1. The consensus tree includes 76 homoplastic characters which account for 353 homoplastic steps.

Since the placement of several taxa is inconsistent with phylogenies based on morphological and developmental data, I conducted a series of 10 bootstraps with 100 iterations each using representative taxa. A bootstrap using all 65 sequences is prohibitive because of the amount of computation time required. All 10 bootstraps, which used the general heuristic search mode of PAUP, are combinations of seven or eight of the taxa designated by an asterisk in figure 1. In five of the bootstraps the poriferan *Halichondria japonica* is the outgroup, and in the other five the hydrozoan *Spirocodon saltatrix* acts as the outgroup.

The bootstraps did not support any node in any of the 10 combinations at a level  $\geq 80\%$  ( $P = 0.20$ ).

To determine whether 5S ribosomal sequence data are useful for phylogenetic reconstruction, I examined several different hierarchical levels, a few of which are discussed below.

I analyzed a deuterostome subset which included two echinoids (*Lytechinus variegatus* and *Hemicentrotus pulcherrimus*), two asteroids (*Asterias vulgaris* and *Asterina pectinifera*), a holothuroid (*Stichopus oshimae*), a urochordate (*Halocynthia roretzi*), a hemichordate (*Saccoglossus kowalevskii*), a vertebrate (*Tinca tinca*), and a brachiopod (*Lingula anatina*) as the outgroup. All possible trees were examined by the exhaustive search mode of PAUP. Two most-parsimonious trees with a length of 86 steps were found.

A bootstrap analysis of the deuterostome clade with 1,000 iterations supported only one node at a significant level; the two echinoids were consistently grouped together

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**Table 1**  
**Species Used in All-Metazoa Clade**

Species	Taxonomic Designation
<i>Euglena gracilis</i> one	Euglenophyta 1a
<i>Euglena gracilis</i> two	Euglenophyta 1b
<i>Crithidia fasciculata</i>	Flagellata
<i>Paramecium tetraurelia</i>	Ciliata
<i>Dicyema misakiense</i>	Mesozoa
<i>Halichondria japonica</i>	Porifera 1
<i>Haliclona oculata</i>	Porifera 2
<i>Halichondria panicea</i>	Porifera 3
<i>Anthopleura japonica</i>	Anthozoa
<i>Spirocodon saltatrix</i>	Hydrozoa 1
<i>Nemopsis dosleini</i>	Hydrozoa 2
<i>Aurelia aurita</i> one	Scyphozoa 1a
<i>Aurelia aurita</i> two	Scyphozoa 1b
<i>Chrysaora quinquecirrha</i>	Scyphozoa 2
<i>Dugesia japonica</i> Furuyu	Turbellaria 1a
<i>Dugesia japonica</i> Sanage	Turbellaria 1b
<i>Planocera reticulata</i>	Turbellaria 2
<i>Lineus geniculatus</i>	Nemertini 1
<i>Emplectonema gracile</i> one	Nemertini 2a
<i>Emplectonema gracile</i> two	Nemertini 2b
<i>Caenorhabditis elegans</i>	Nematoda 2
<i>Rhabditis tokai</i>	Nematoda 3
<i>Brachionus plicatilis</i>	Rotifera
<i>Perinereis brevicirrus</i>	Polychaeta 1
<i>Sabellastarte japonica</i>	Polychaeta 2
<i>Artemia salina</i>	Branchiopoda 1
<i>Daphnia magna</i>	Branchiopoda 2
<i>Cancer pagurus</i>	Malacostraca 1
<i>Homarus gammarus</i>	Malacostraca 2
<i>Ligia oceanica</i>	Malacostraca 3
<i>Limulus polyphemus</i>	Merostomata
<i>Eurypelma californica</i>	Arachnida 1
<i>Areneus diadematus</i>	Arachnida 3
<i>Spiroboldus</i> sp.	Diplopoda
<i>Acyrtosiphon magnoliae</i>	Insecta 1
<i>Bombyx mori</i>	Insecta 2
<i>Calliphora erythrocephala</i>	Insecta 3
<i>Drosophila melanogaster</i> one	Insecta 4a
<i>Drosophila melanogaster</i> two	Insecta 4b
<i>Drosophila melanogaster</i> three	Insecta 4c
<i>Locusta migratoria</i>	Insecta 7
<i>Philosania cynthia-ricini</i>	Insecta 8
<i>Tenebrio molitor</i>	Insecta 9
<i>Urechis unicinctus</i>	Echiura
<i>Calyptogena magnifica</i>	Bivalvia 1
<i>Mytilus edulis</i>	Bivalvia 2
<i>Solemya velum</i>	Bivalvia 3
<i>Octopus vulgaris</i>	Cephalopoda 1
<i>Illex illecebrosus</i>	Cephalopoda 2
<i>Helix pomatia</i>	Gastropoda 1
<i>Arion rufus</i>	Gastropoda 2
<i>Riftia pachyptila</i>	Pogonophora
<i>Phascolopsis gouldii</i>	Sipuncula

**Table 1**  
(Continued)

Species	Taxonomic Designation
<i>Lingula anatina</i> .....	Brachiopoda
<i>Bugula neritina</i> .....	Bryozoa
<i>Lytechinus variegatus</i> .....	Echinoidea 1
<i>Hemicentrotus pulcherrimus</i> .....	Echinoidea 2
<i>Asterias vulgaris</i> .....	Asteroidea 1
<i>Asterina pectinifera</i> .....	Asteroidea 2
<i>Stichopus oshimae</i> .....	Holothuroidea
<i>Saccoglossus kowalevskii</i> one .....	Hemichordata 1a
<i>Saccoglossus kowalevskii</i> two .....	Hemichordata 1b
<i>Halocynthia roretzi</i> .....	Tunicata
<i>Tinca tinca</i> s. ....	Vertebrata 1a
<i>Tinca tinca</i> o. ....	Vertebrata 1b

in 97% of the iterations ( $P = 0.03$ ). No other node was supported by bootstrap analysis at a level  $>50\%$  ( $P = 0.50$ ). These low bootstrap values are due to the lack of informative characters. There are only 29 informative characters in the deuterostome subset when all characters are given equal weighting. Only four characters are not homoplastic, and there are 47 homoplastic steps. Since the number of informative nucleotide positions is small, reconstruction is prone to gross inaccuracy because of the lack of phylogenetic signal (Felsenstein 1988).

To determine whether phylogenetic signal is present, I analyzed the skewness of the tree-frequency distribution for the deuterostome subset, as advocated by Hillis (accepted; also see Fitch 1979; Hillis and Dixon 1989). Analysis of the deuterostome subset yields a tree-frequency distribution that is more skewed than would be expected on the basis of random chance. Thus, some signal appears to be present. However, in this case, that signal either appears to be too weak to be useful or represents a phylogenetic constraint due to convergence and thus is an inaccurate signal.

I also examined the 5S data at the class level by using a mollusk clade. This clade consists of three bivalves (*Calyptogena magnifica*, *Mytilus edulis*, and *Solemya velum*), two gastropods (*Helix pomatia* and *Arion rufus*), and two cephalopods (*Octopus vulgaris* and *Illex illecebrosus*). A polychaete, *Perineries brevicirrus*, is used as an outgroup. An exhaustive search yields one most-parsimonious tree with a length of 41 steps (eight characters and nine steps are homoplastic). Five trees are a step longer. Once again the number of informative characters (21) is small, and the attempted reconstructions are very sensitive to which sequences are used. For example, when both the polychaete *Perineries brevicirrus* and the turbellarian *Dugesia japonica* Furuyu are designated as outgroups, many of the most-parsimonious trees could not maintain a monophyletic ingroup. Presumably, if the phylogenetic signal were stronger, the reconstructions would not be as sensitive to this problem.

This issue of sensitivity was addressed by running a bootstrap (1,000 iterations). Only two groupings, the gastropod clade and the cephalopod clade, are supported at a significance level of  $P = 0.08$  ( $\geq 92\%$  of the iterations). No other branch is supported in  $>55\%$  ( $P = 0.45$ ) of the iterations.

The fundamental problem is that the 5S subunit is too small to contain phylogenetic signal sufficient to allow accurate reconstruction of evolutionary relationships. In other words, there are too few informative positions in the 5S subunit. The "deuterostome" clade has the highest character-to-taxa ratio, 3.63:1. The "all-metazoa" clade, which is the most problematic, has a ratio of 1.39:1. As Felsenstein (1988)

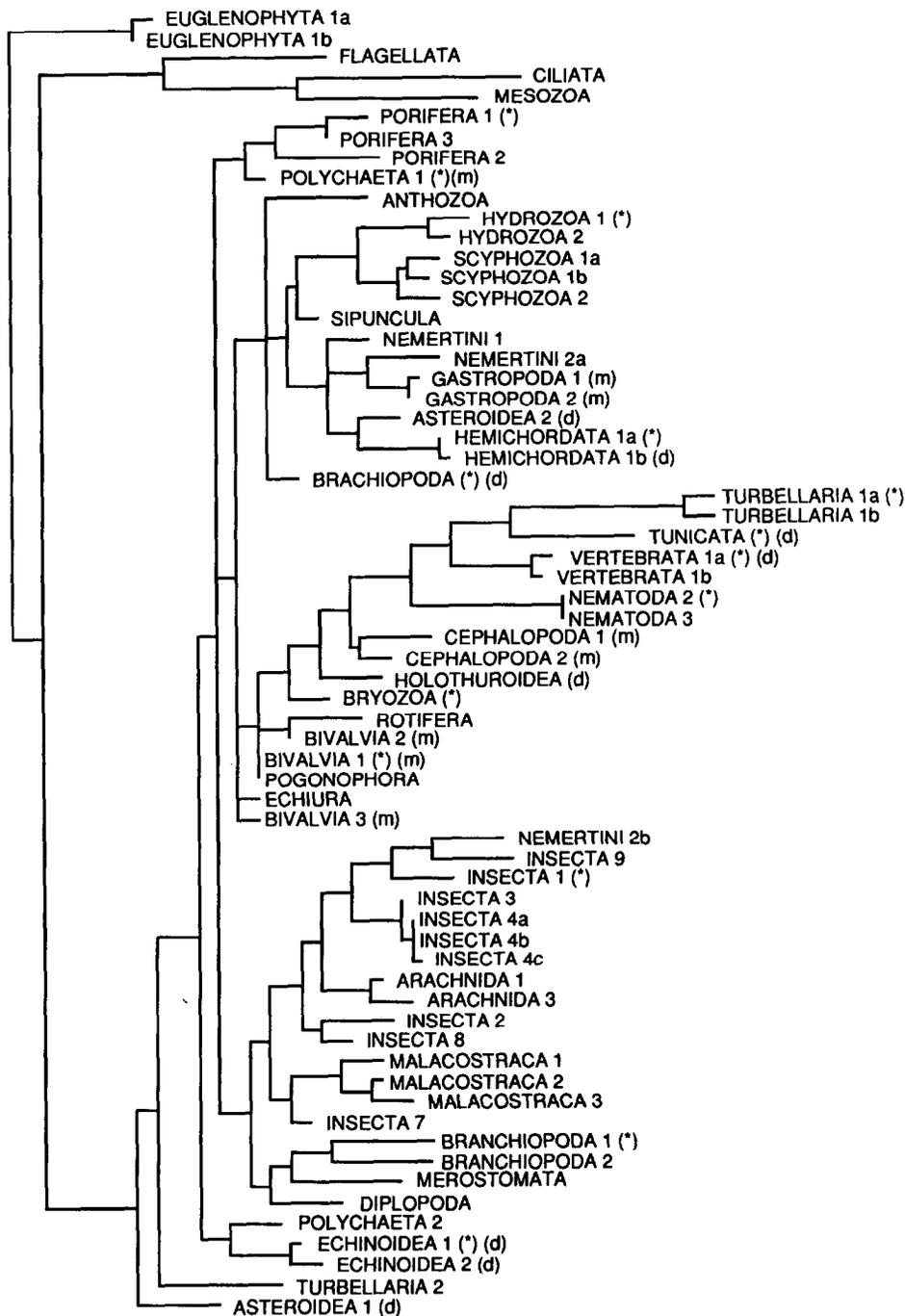


FIG. 1.—Fifty-percent majority-rule consensus tree of 65 metazoan 5S rRNA sequences produced by the heuristic search mode of PAUP. The consensus is a result of 93 trees of 536 steps. The all-metazoa, all-deuterostome, and all-mollusca bootstrap data sets are indicated by an asterisk (\*), d, and m, respectively.

argues, these low ratios are not acceptable when one is trying to reconstruct the evolutionary history of a group by using sequence data. Because of its weak phylogenetic signal, the 5S ribosomal subunit alone is not an acceptable source of information for reconstructing phylogenies.

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